



1 P 3624

ATTORNEY DOCKET NO.: MINMAT.P03

Page 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: N. Subramanian

In re application of:
Gabrick et al.

Serial No.: 09/706,513

Filed: November 3, 2000

SYSTEM FOR AUTOMATING
AND MANAGING AN ENTERPRISE
IP ENVIRONMENT

Group Art Unit: 3624

March 14, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop – Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Forms 1449. Applicants include herewith the fee of \$180.00 set forth in 37 C.F.R. § 1.17(p). If any additional fee or fees are required in connection with this filing, Applicant's Attorney hereby authorizes the Commissioner to charge those additional fees to Deposit Account 502,642.

03/17/2006 JBALINAN 00000099 09706513

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CERTIFICATE OF MAILING (37C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Mail Stop – Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Vicki L. James

Signature:

Date of Deposit March 14, 2006

Copies of the Non-U.S. Patent documents listed on the attached PTO Forms 1449 are attached. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art."

Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of the listed documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents should the documents be applied against the claims of the present application.

Applicants note that the pending related application 09/709,900, filed November 10, 2000, included original claims 1-7 that were substantially similar to the original claims 1, 6, 3-5, and 7-8, respectively, of the present application. During prosecution of 09/709,900, its original claims 1, 4, and 6 were rejected under 35 U.S.C. §103(a) as being unpatentable under United States Patent No. 6,044,354 to Asplen, Jr. (hereinafter "Asplen"); original claim 2 was rejected under 35 U.S.C. §102(e) as being anticipated by United States Patent No. 6,557,013 to Ziff et al. (hereinafter "Ziff"); original claim 3 was rejected under 35 U.S.C. §103(a) as being unpatentable under Asplen in view of United States Patent No. 5,721,903 to Anand et al.; original claim 5 was rejected under 35 U.S.C. §103(a) as being unpatentable under Asplen in view of United States Patent No. 6,330,545 to Suh; and original claim 7 was rejected under 35 U.S.C. §103(a) as being unpatentable under Asplen in view of Ziff.

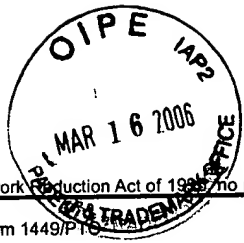
Applicants respectfully request that the Examiner refer to the 09/709,900 application and its prosecution history file for a complete description of the above-noted rejections as well as other rejections and other cited references. Applicants also respectfully request that the Examiner let the Applicants know if the Examiner has any questions regarding this matter.

Respectfully submitted,



Richard W. James
Registration No. 43,690

25 Churchill Road
Pittsburgh, PA 15235
Phone: (412) 243-8708
Facsimile: (412) 243-4070
rich@jamespatent.com



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 24

Complete if Known

Application Number	09/706,513
Filing Date	November 3, 2000
First Named Inventor	Gabrick et al.
Art Unit	3624
Examiner Name	Subramanian, N.
Attorney Docket Number	MINMAT.P03

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,721,903	02-24-1998	Anand et al.	
		US- 6,044,354	03-28-2000	Asplen, Jr.	
		US- 6,151,707	11-21-2000	Hecksel et al.	
		US- 6,212,530	04-03-2001	Kadlec	
		US- 6,330,545	12-11-2001	Suh	
		US- 6,339,774	01-15-2002	Nakayama et al.	
		US- 6,408,337	06-18-2002	Dietz et al.	
		US- 6,434,580	08-13-2002	Takano et al.	
		US- 6,556,974	04-29-2003	D'Alessandro	
		US- 6,557,013	04-29-2003	Ziff et al.	
		US- 09/884,609	02-07-2002	Harrell et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 04097388 A	03-1992	Sagehashi		
		PCT 98/15909	04-1998	Slotznick		
		GB 2328530 A	02-1999	Carter et al.		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NON PATENT LITERATURE DOCUMENTS

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		AMIDON, D., "Knowledge Innovation Assessment," Official Newsletter of the Int'l Association of the Management of Technology [online], 3/1997, Vol. 4, No. 1, Entovation, Int'l.	
		ROMERO, SIMON, "3 Charged With Giving Lucent Secrets to China," New York Times [online], May 4, 2001, The New York Times Company.	
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		"Case Study: Dell," http://www.accenture.com/xd/xd.asp?it=enWeb&xd=industries\communications\high-tech\high_clients_dell.xml [retrieved 3/7/2002].	
		"Global Advertising 'I am your idea,'" http://www.accenture.com/xd/xd.asp?it=enWeb&xd=aboutus\ad_2002\ad_home.xml , [retrieved 3/7/2002].	
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		GONSALVES, CHRIS, "Mining patent properties for gold," eWEEK, retrieved at http://www.zdnet.com/eweek/stories/general/0,11011,2697678,00.html , March 19, 2001.	
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		LEFF, MARNI, "Bellevue start-up adapts system from bees, ants," Seattle Post-Intelligencer, May 28, 2001.	
		"Boeing sets up fund to reward workers' innovative ideas," Seattle Post-Intelligencer, September 7, 2000.	
		JONES, DEL, "Businesses battle over intellectual property Courts choked with lawsuits to protect ideas — and profits," USA TODAY, August 2, 2000.	
		DAVIS, CHRISTOPHER, "Calgon Carbon's creative thinking initiative pays bottom line dividends," Pittsburgh Business Times, November 16, 2001.	
		BRIDGE, CATHERINE, "Officials Look At Protecting California IP," The Recorder/Cal Law, November 10, 1999.	
		MARTIN, RICHARD, "Can Kodak Find Its Focus? New President Patricia Russo is trying to bring the film giant into the digital age," The Industry Standard, July 3, 2001.	

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Sheet 4	of 24	Attorney Docket Number	MINMAT.P03

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		SCHNEIDER, CRAIG, "CFOs Sweat FASB's Goodwill Accounting Changes, Says Study," http://www.cfo.com/Pge_Channel_Article_Detail/1,4635,3 15 AD 2305,00.htm , March 19, 2001.	
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		CHANG, MICHAEL, "Covering Your Assets," IP Magazine [online], March 1999, retrieved from http://www.ipmag.com/ (homepage).	

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		"SEC Scrutiny of In-Process Research and Development," The CPA Journal [online], March 1999 Issue.	
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		TANENBAUM, WILLIAM A., "Patent, Copyright and Domain Name Intellectual Property Due Diligence for Mergers and Acquisitions," http://www.ljx.com/practice/mergers/tanenbaum.html	

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		BERRY, JOHN, "Employees Cash In On KM Knowledge management programs pay cash rewards to share idea," http://www.planetit.com/techcenters/docs/e-commerce/product/PIT20000525S0	
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		CRESWELL, JULIE, "Bottled Up," http://library.northernlight.com/LH20000908010000438.html?cb=13&sc=0 , Sept. 18, 2000, from FORTUNE, Vol. 142 No. 6 page 194+.	

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		First Named Inventor	Gabrick et al.
		Art Unit	3624
		Examiner Name	Subramanian, N.
Sheet 8	of 24	Attorney Docket Number	MINMAT.P03

NON PATENT LITERATURE DOCUMENTS			
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		Application Number	09/706,513
		Filing Date	November 3, 2000
		First Named Inventor	Gabrick et al.
		Art Unit	3621
		Examiner Name	Subramanian, N.
Sheet 15 of 24	Attorney Docket Number	MINMAT.P03	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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		Art Unit	3624
		Examiner Name	Subramanian, N.
Sheet 16	of 24	Attorney Docket Number	MINMAT.P03

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Complete if Known

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Art Unit	3621
Examiner Name	Subramanian, N.
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		HIBBARD, JUSTIN, "Wal-Mart v. Amazon.com: The Inside Story," InformationWeek, February 22, 1999, http://www.informationweek.com/722/22iuwal.htm	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/706,513
		Filing Date	November 3, 2000
		First Named Inventor	Gabrick et al.
		Art Unit	3624
		Examiner Name	Subramanian, N.
Sheet 23 of 24	Attorney Docket Number	MINMAT.P03	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner Signature	Date Considered
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				Application Number	09/706,513
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				Examiner Name	Subramanian, N.
Sheet	24	of	24	Attorney Docket Number	MINMAT.P03

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		"The Merger & Acquisition Reporter," The M&A Reporter, Winter 2000-2001, EquiCo.	

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